

September 2016

**Chief Executive's Unit - Mark Brookes**

**CE\_R01 Failure to deliver successful elections**

<b>Category:</b> Reputational	<b>Corporate Priority:</b> Dacorum Delivers	<b>Risk Owner:</b> Mark Brookes	<b>Portfolio Holder:</b> Neil Harden	<b>Tolerance:</b> Treating
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Inherent Probability	Inherent Impact	Inherent Risk Score	Residual Probability	Residual Impact	Residual Risk Score
4 Very Likely	4 Severe	16 Red	1 Very Unlikely	4 Severe	4 Green

Consequences	Current Controls	Assurance
<p>Legal sanction and re-run of election at DBC expense. Loss of faith in elections process. Intense scrutiny on future referenda Potential 'Failed service' designation from Electoral Commission. Personal Financial liability for Returning Officer. Invalid or unsubstantiated election Results. Dissatisfaction of key stakeholders with the borough council elections and the process for future referenda.</p>	<ul style="list-style-type: none"> <li>- Election Preparation Plan and Risk Assessment</li> <li>- Establish Election Team, allocate resources, audit and prepare equipment</li> <li>- Oversee actual Election</li> <li>- Check Results</li> <li>- Conclude election paperwork</li> <li>- Clear and Store election equipment</li> </ul>	<p>The Parliamentary, Borough and Parish/Town Council elections held in May 2015 and the PCC election (May 2016) and EU Referendum (June 2016) were conducted successfully which demonstrates the effectiveness of a comprehensive action plan which is monitored and updated every two weeks by the Chief Executive, as the Returning Officer, the Solicitor to the Council, the Corporate Director (Finance and Operations) and the Group Manager (Democratic Services) as the three Deputy Returning Officers.</p>

**Sign Off and Comments**

Sign Off Complete  
No amendments to the existing scoring required.

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